## FORM D



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**FORM D** 

OMB APPROVAL

ÖMB Number: 3235-0076 Expires: April 30, 2008

Estimated average burden Hours per response......16.00

RECEIVE

NOTICE OF SALE OF SECURIT PURSUANT TO REGULATION I SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION SEC USE ONLY **DATE RECEIVED** 

Name of Offering (  check if this is an amendment and n	ame has changed, an	d indicate chang	e.)		
Offering of Limited Partnership Interests					
Filing Under (Check box(es) that apply): Rule 504	☐ Rule 505	Rule 506	Section 4	H(6) ULOE	<del></del>
Type of Filing: New Filing Amendment					
	A. BASIC IDENTIF	ICATION DAT	ſ <b>A</b>		·· <u>··</u>
1. Enter the information requested about the issuer					
Name of Issuer ( check if this is an amendment and nar Collins Capital Alpha Fund I, LP	ne has changed, and	indicate change.)	)		
Address of Executive Offices South Tower, 806 Douglas Road, Suite 570, Coral Gables	(Number and Strees, Florida 33134	t, City, State, Zip	Code)	Telephone Number (Inc (305) 666-3319	luding Area Code)
Address of Principal Business Operations	(Number and Stree	t, City, State, Zip	Code)	Telephone Number (Inc	luding Area Code)
(if different from Executive Offices)					ř
Brief Description of Business Private Investment Partnership	<del> </del>			<del></del>	
Type of Business Organization					· · · · · · · · · · · · · · · · · · ·
☐ corporation ☐ limited partnersh	ip, already formed		other (ple	ase specify):	PROCESS-
business trust limited partnersh	ip, to be formed				* * * * * * * * * * * * * * * * * * *
	Month	Year			IAN On an-
Actual or Estimated Date of Incorporation or Organization	1 2	0 2		☐ Estimated	JAN 0 8 2008
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:					
	anada; FN for other i			DE V	FINANCIAI

## GENERAL INSTRUCTIONS

## Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering: A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on the ULOE must file a separate notice with Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

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Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

SEC 1972 (6-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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		C IDENTIFICATION DATA			
2. Enter the information requested for the following:					
	• Each promoter of the issuer, if the issuer has been organized within the past five years;				
· .	-	•	f, 10% or more of a class of equity securities of t	the issuer.	
		of corporate general and managin	ng partners of partnership issuers; and		
Each general and managing partner	er of partnership issuers.				
Check Box(es) that Apply:  Promoter	Beneficial Owner	Executive Officer Di	rector General and/or Managing Partner		
Full Name (Last name first, if individual) Collins Capital Investments, LLC					
Business or Residence Address (Number a South Tower, 806 Douglas Road, Suite 5					
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Dir	General and/or Managing Partner		
Full Name (Last name first, if individual) Weaver, Dorothy Collins	<del></del>				
Business or Residence Address (Number a c/o Collins Capital Investments, LLC, Son		<del>-</del>	Florida 33134		
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Dir	rector General and/or Managing Partner		
Full Name (Last name first, if individual) Collins, Michael J.					
Business or Residence Address (Number a c/o Collins Capital Investments, LLC, Sor			Florida 33134		
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Dis	rector General and/or Managing Partner		
Full Name (Last name first, if individual) Windhorst, Kent					
Business or Residence Address (Number a c/o Collins Capital Investments, LLC, Soc			Florida 33134		
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Disorder Of General Partner	rector General and/or Managing Partner		
Full Name (Last name first, if individual)	····				
Business or Residence Address (Number a	and Street, City, State, Zi	Code)			
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Dir	rector General and/or Managing Partner	<del></del>	
Full Name (Last name first, if individual)					
Business or Residence Address (Number a	and Street, City, State, Zi	o Code)			
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer Dir	rector General and/or Managing Partner		
Full Name (Last name first, if individual)	78 W 112		<del>-</del>		
Business or Residence Address (Number a	and Street, City, State, Zi	p Code)		<del></del> -	

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

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	B. INFORMATION ABOUT OFFERING		<del></del>		
		Yes N	0		
1.	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?  Answer also in Appendix, Column 2, if filing under ULOE.		1		
2.	What is the minimum investment that will be accepted from any individual?	\$ 1,000,000*	_		
3.	Does the offering permit joint ownership of a single unit?	Yes N	0		
			]		
4.	Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.  Name (Last name first, if individual)				
1011	rante (sous name trist, it individual)				
	iness or Residence Address (Number and Street, City, State, Zip Code) Peachtree Center Ave., Suite 140, Atlanta, GA 30303		···		
	ne of Associated Broker or Dealer		-		
	trust Investment Services, Inc.				
State	es in Which Person Listed Has Solicited or Intends to Solicit Purchasers				
	(Check "All States" or check individual States)	All States			
AL	AK AZ AR CA CO CT DE DC FL GA	HI	ID		
īL	IN IA KS KY LA ME MD MA MI MN	MS	мо		
<u> </u>		; <del>     </del>			
МТ	NE NV NH NJ NM NY NC ND OH OK	OR	PA		
RI	SC SD TN TX UT VT VA WA WV WI	WY	PR		
Full	Name (Last name first, if individual)				
	iness or Residence Address (Number and Street, City, State, Zip Code) Front Street, Morgan Keegan Tower, Memphis, TN 38103				
Nan	ne of Associated Broker or Dealer				
	gan Keegan & Company, Inc.				
Stat	es in Which Person Listed Has Solicited or Intends to Solicit Purchasers	MAD Comme			
	(Check "All States" or check individual States)	All States     ■			
AL	AK AZ AR CA CO CT DE DC FL GA	HI	ID		
IL.	IN IA KS KY LA ME MD MA MI MN	MS	МО		
МТ	NE NV NH NJ NM NY NC ND OH OK	OR	PA		
RI	SC SD TN TX UT VT VA WA WV WI	WY	PR		
	Name (Last name first, if individual)		PK .		
	Business or Residence Address (Number and Street, City, State, Zip Code)				
Name of Associated Broker or Dealer					
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers					
(Check "All States" or check individual States) All States					
AL	AK AZ AR CA CO CT DE DC FL GA	HI	ID		
IL.	IN IA KS KY LA ME MD MA MI MN	MS	МО		
МТ	NE NV NH NJ NM NY NC ND OH OK	OR	PA		
Ri	SC SD TN TX UT VT VA WA WV WI	WY	PR		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

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<sup>\*</sup>Minimum investment subject to waiver by general partner

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE	OF PROCEEDS		
t.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\sigma\) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.			
	Type of Security	Aggregate Offering Pri		Amount Already Sold
	Debt	s <u>-o-</u>		\$ <u>-0-</u>
	Equity	\$ -Q-		\$ -0-
	Common	· · · · · · · · · · · · · · · · · · ·		
	Convertible Securities (including warrants)	\$ <u>-0-</u>		\$0
	Partnership Interests	\$ 2,000,000,00		\$ 40,221,503
	Other (Specify)	s <u>-o-</u>		\$ -0-
	Total	\$ 2,000,000,00		\$ 40,221,503
	Answer also in Appendix, Column 3, if filing under ULOE.	<u> </u>	ж	<u> </u>
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."			
		Number Investors		Aggregate Dollar Amount of Purchases
	Accredited Investors	54		\$ <u>40,221,503</u>
	Non-accredited Investors			\$
	Total (for filings under Rule 504 only)			\$
	Answer also in Appendix, Column 4, if filing under ULOE.			
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offering of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Questions 1.	<b></b>		5.4
	Type of Offering	Type of Security		Dollar Amount Sold
	Rule 505			\$
	Regulation A			s
	Rule 504			\$
	Total			s
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			
	Transfer Agent's Fees	*******	$\boxtimes$	\$
	Printing and Engraving Costs	*********	$\boxtimes$	\$
	Legal Fees	**********	$\boxtimes$	\$ _20,000
	Accounting Fees	*******	$\boxtimes$	\$ <u>-0-</u>
	Engineering Fees		$\boxtimes$	\$
	Sales Commissions (specify finders' fees separately)	*******	$\boxtimes$	\$
	Other Expenses (identify) filing fees		$\boxtimes$	\$
	Total	*******	$\boxtimes$	\$ <u>23.000</u> _

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<sup>\*</sup>The Issuer is offering an unlimited amount of limited partnership interests. The Issuer does not expect to sell in excess of \$2,000,000,000 in limited partnership interests. Actual sales may be significantly lower.

_	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE	OF PROCEED	DS
_	b. Enter the difference between the aggregate offering price given in response to Part C — Question and total expenses furnished in response to Part C — Question 4.a. This difference is the "adjusted g proceeds to the issuer."	TOSS	\$ <u>1,999,977,000°</u>
5.	Indicate below the amount of the adjusted gross proceed to the issuer used or proposed to be used each of the purposes shown. If the amount for any purpose is not known, furnish an estimate check the box to the left of the estimate. The total of the payments listed must equal the adjusted g proceeds to the issuer set forth in response to Part C — Question 4.b. above.	and	
		Payments Officers, Directors, Affiliates	& Payments to
	Salaries and fees	⊠ s <u>-0-</u>	⊠ \$ <u>-o-</u>
	Purchase of real estate	⊠ \$ <u>-0-</u>	🛛 s
	Purchase, rental or leasing and installation of machinery and equipment	⊠ s <u>-o</u> -	
	Construction or leasing of plant buildings and facilities	⊠ \$ <u>-0-</u>	🖾 \$ <u>-0-</u>
	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)	⊠ \$ <u>-o-</u>	⊠ \$ <u>-0-</u>
	Repayment of indebtedness	⊠ s <u>-o-</u>	🛛 s <u>-o-</u>
	Working capital	<b>⊠</b> \$0-	<b>■</b> \$ <u>1,999,967,000*</u>
	Other (specify):		
	Registration costs	⊠ \$ <u>-0-</u>	<b>⊠</b> \$ <u>10.000</u>
	Column Totals	Ø \$ <u>-0-</u>	S 1.999.977.000°
	Total Payments Listed (column totals added)		
	D. FEDERAL SIGNATURE	<del></del>	
	e issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this		

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes and undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

Issuer (Print or Type) Collins Capital Alpha Fund I, LP	Signature Date 12-11-07
Name of Signer (Print or Type) Kent A. Windhorst	Title of Signer (Print or Type) CFO, Collins Capital Investments, LLC, its general partner

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S. C. 1001.)

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